

Fuglede conjecture and tilings in the field of p -adic numbers

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Transversal Aspects of Tilings

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Outline

- 1 Introduction
- 2 Fuglede's conjecture on \mathbb{Q}_p
- 3 Fuglede's conjecture for compact open set in \mathbb{Q}_p
- 4 Proof of Fuglede's conjecture on \mathbb{Q}_p

Introduction

I. Fuglede's conjecture in \mathbb{R}^d

- $\Omega \subset \mathbb{R}^d$ be a Lebesgue measurable of finite non-zero Lebesgue measure.
- Ω is said to be **spectral** if there exists a set $\Lambda \subset \mathbb{R}^d$ such that $\{\frac{1}{\text{Leb}(\Omega)^{1/2}} e^{2\pi i x \cdot \xi}\}_{\xi \in \Lambda}$ **form a Hilbert basis** of $L^2(\Omega)$.
- We say that the set Ω **tiles** \mathbb{R}^d by translation, if there exists a set $T \subset \mathbb{R}^d$ such that $\{\Omega + t : t \in T\}$ forms a **partition a.e.** of \mathbb{R}^d , equivalently,

$$\sum_{t \in T} 1_{\Omega}(x - t) = 1, \quad \text{Leb} - a.e..$$

Fuglede's conjecture

Ω is a spectral set if and only if it tiles \mathbb{R}^d .

- **Fuglede 1974** : true if either Λ or T is a lattice.

II. Results in \mathbb{R}^d

The conjecture is **not true for $d \geq 3$ (both direction)**. It is still **open for $d = 1, 2$** .

- Tao 2004 : "spectral \Rightarrow tiling" is false for $d \geq 5$.
- Matolsci 2005 : "spectral \Rightarrow tiling" is false for $d = 4$
- Matolsci and Kolountzakis 2006 : "spectral \Rightarrow tiling" is false for $d = 3$
- Matolsci and Kolountzakis 2006 : "tiling \Rightarrow spectral" is false for $d \geq 5$
- Farkas and Gy 2006 : "tiling \Rightarrow spectral" is false for $d = 4$
- Farkas, Matolsci and Móra 2006 : "tiling \Rightarrow spectral" is false for $d = 3$
- Iosevich, Katz and Tao 2003 : true for **convex** planer sets.
- Łaba 2001 : true for union of two intervals.
- Lagarias, Wang 1996, 1997 : all tilings of \mathbb{R} must be periodic.

III. General setting

- G is a **local compact abelian group** with Haar measure m . For $x \in G$, $\Omega + x := \{y + x \in G : y \in \Omega\}$.
- A **character** of G is a group homomorphism $\chi : G \rightarrow S^1$, i.e. $\chi(g_1 + g_2) = \chi(g_1)\chi(g_2)$ and $\chi(0) = 1$. Denote by \widehat{G} the dual group which consists of all the characters of G .
- A subset $\Omega \subset G$ of **finite Haar Measure** is said to be **spectral** if there exists a set $\Lambda \subset \widehat{G}$ which **form a Hilbert basis** of $L^2(\Omega)$. The set Λ is called a **spectrum** of Ω and (Ω, Λ) is called a **spectral pair**.
- We say that the set Ω **tiles** G by translation, if there exists a set $T \subset G$ such that $\{\Omega + t : t \in T\}$ forms a **partition a.e.** of G , equivalently,

$$\sum_{t \in T} 1_{\Omega}(x - t) = 1, \quad a.e. \ x \in G.$$

The set T is called a **translate** of Ω and (Ω, T) is called a **tiling pair**.

IV. Spectral set conjecture

Question : Ω is a spectral set if and only if it tiles G ?

- The case $G = \mathbb{Z}$ is open. The case $G = \mathbb{Z}/p^n\mathbb{Z}$ is true.
- The case $G = \mathbb{R}^d$ is the famous Fuglede conjecture.
- How about the case $G = \mathbb{Q}_p^d$? We confirm the conjecture when $d = 1$.

Fuglede's conjecture on \mathbb{Q}_p

I. The p -adic numbers and the topology

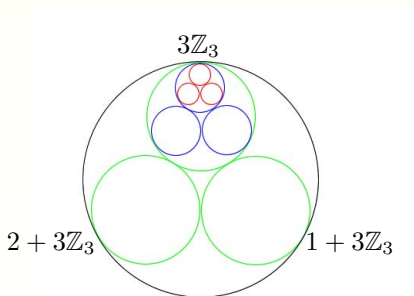
- Ring \mathbb{Z}_p of p -adic integers :

$$\mathbb{Z}_p \ni x = \sum_{i=0}^{\infty} a_i p^i.$$

- Field \mathbb{Q}_p of p -adic numbers : fraction field of \mathbb{Z}_p :

$$\mathbb{Q}_p \ni x = \sum_{i=v(x)}^{\infty} a_i p^i, \quad (\exists v(x) \in \mathbb{Z}).$$

Absolute value : $|x|_p = p^{-v(x)}$, metric : $d(x, y) = |x - y|_p$.



Remark : \mathbb{Z}_p is the unit ball of \mathbb{Q}_p . The topology on \mathbb{Q}_p is the same as the topology of symbolic space.

II. Arithmetic in \mathbb{Q}_p

Addition and multiplication : similar to the decimal way.

"Carrying" from left to right.

Example : $x = (p-1) + (p-1) \times p + (p-1) \times p^2 + \dots$, then

- $x + 1 = 0$. So,

$$-1 = (p-1) + (p-1) \times p + (p-1) \times p^2 + \dots$$

- $2x = (p-2) + (p-1) \times p + (p-1) \times p^2 + \dots$

We also have subtraction and division.

III. Haar measure and characters on \mathbb{Q}_p

The Haar measure m on \mathbb{Q}_p is such that the unit ball \mathbb{Z}_p has measure 1.

- A character $\chi \in \widehat{\mathbb{Q}_p}$ (where $\{x\} = \sum_{n=v_p(x)}^{-1} a_n p^n$) :

$$\chi(x) = e^{2\pi i \{x\}}.$$

Notice : χ is a **local constant** function ($\chi(x) = 1$, if $x \in \mathbb{Z}_p$).

- For any $y \in \mathbb{Q}_p$, we define

$$\chi_y(x) = \chi(yx).$$

The map $y \mapsto \chi_y$ from \mathbb{Q}_p onto $\widehat{\mathbb{Q}_p}$ is an **isomorphism**.

IV. Fourier transformation on \mathbb{Q}_p

For $f \in L^1(\mathbb{Q}_p)$, the Fourier transform of f is defined to be

$$\widehat{f}(y) = \int_{\mathbb{Q}_p} f(x) \overline{\chi_y(x)} dx, \quad (dx = d\mathbf{m}).$$

Examples :

- $\widehat{1_{B(0, p^\gamma)}}(\xi) = p^\gamma 1_{B(0, p^{-\gamma})}(\xi)$
- Let $\Omega = \bigsqcup_{j=1} B(c_j, p^\gamma)$. Then $\widehat{1_\Omega}(\xi) = p^\gamma 1_{B(0, p^{-\gamma})}(\xi) \sum_j \chi(-c_j \xi)$.

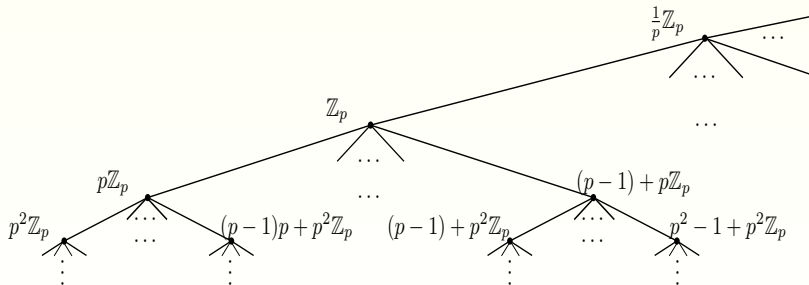
Lemma (A criterion of spectral set)

A Borel set Ω of finite Haar measure is a spectral set with Λ as a spectrum iff

$$\forall \xi \in \widehat{\mathbb{Q}_p}, \sum_{\lambda \in \Lambda} |\widehat{1_\Omega}(\lambda - \xi)|^2 = \mathbf{m}(\Omega)^2.$$

V. Tree structure of \mathbb{Q}_p

- Vertices \mathcal{T} : balls in \mathbb{Q}_p .
- Edges \mathcal{E} : pairs $(B', B) \in \mathcal{T} \times \mathcal{T}$ such that $B' \subset B$, $m(B) = pm(B')$, (denoted by $B' \prec B$).



VI. Bounded open sets in \mathbb{Q}_p

Any bounded open set O of \mathbb{Q}_p can be described by a subtree $(\mathcal{T}_O, \mathcal{E}_O)$ of $(\mathcal{T}, \mathcal{E})$.

- Let B^* be the **smallest ball containing** O , which will be the root of the tree. For any given ball B **contained** in O , there is a **unique sequence of balls** B_0, B_1, \dots, B_r such that

$$B = B_0 \prec B_1 \prec B_2 \prec \dots \prec B_r = B^*.$$

- The set of vertices \mathcal{T}_O is composed of all such balls B_0, B_1, \dots, B_r for all possible balls B contained in O .
- The set of edges \mathcal{E}_O is composed of all edges $B_i \prec B_{i+1}$ as above.

VII. Compact open set in \mathbb{Q}_p

Any **compact open set** can be described by a **finite tree**, because a compact open set is a disjoint finite union of balls of same size. In this case, as in the above construction of subtree we only consider these balls of same size as B .

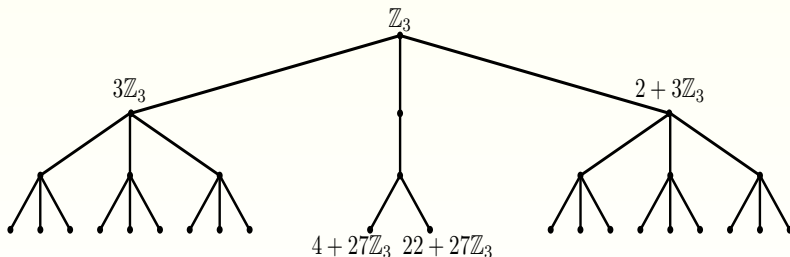


FIGURE : $\Omega = 3\mathbb{Z}_3 \sqcup (2 + 3\mathbb{Z}_3) \sqcup (4 + 27\mathbb{Z}_3) \sqcup (22 + 27\mathbb{Z}_3)$.

VIII. p -homogenous subsets in \mathbb{Q}_p

- A subtree $(\mathcal{T}', \mathcal{E}')$ is said to be **homogeneous** if the number of descendants of $B \in \mathcal{T}'$ depends only on $|B|$. If this number is **either 1 or p** , we call $(\mathcal{T}', \mathcal{E}')$ a **p -homogeneous** tree.
- An bounded open set is said to be **homogeneous** (resp. **p -homogeneous**) if the corresponding tree is **homogeneous** (resp. **p -homogeneous**).
- A **bounded open** p -homogenous set must be compact.

VIII. p -homogenous subsets in \mathbb{Q}_p

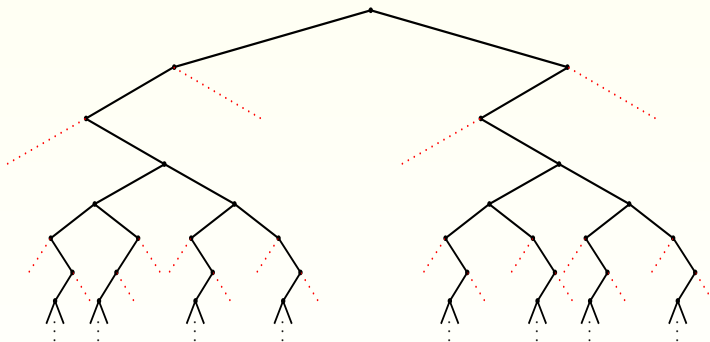


FIGURE : A 2-homogenous tree

VIII. p -homogenous subsets in \mathbb{Q}_p

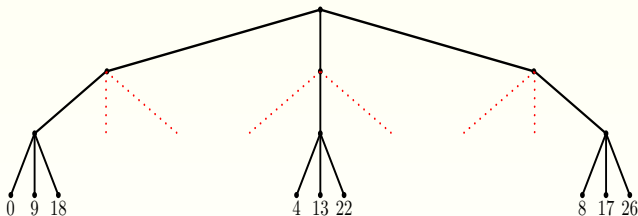


FIGURE : A 3-homogeneous tree

IX. Fuglede's conjecture on \mathbb{Q}_p

Theorem (A. Fan, S. Fan, L. R. Shi, arXiv :1512.08904)

A Borel set $\Omega \in \mathbb{Q}_p$ is a spectral set if and only if it tiles \mathbb{Q}_p .
Moreover, Ω is an *almost compact open set*, and the corresponding compact open set is *p -homogenous*.

- A set $\Omega \subset \mathbb{Q}_p$ is called an *almost compact open set*, if \exists compact open $\Omega' \subset \mathbb{Q}_p$ such that $m(\Omega \setminus \Omega') = m(\Omega' \setminus \Omega) = 0$.

Fuglede's conjecture for compact open set in \mathbb{Q}_p

I. Tree structure of $\mathbb{Z}/p^\gamma\mathbb{Z}$

We identify $\mathbb{Z}/p^\gamma\mathbb{Z} = \{0, 1, \dots, p^\gamma - 1\}$ with $\{0, 1, 2, \dots, p - 1\}^\gamma$ which is considered as a finite tree, denoted by $\mathcal{T}^{(\gamma)}$.

- Vertices $\mathcal{T}^{(\gamma)}$: consists of the disjoint union of the sets $\mathbb{Z}/p^n\mathbb{Z}, 0 \leq n \leq \gamma$. Each vertex, except the root of the tree, is identified with a sequence $t_0 t_1 \cdots t_n$ with $0 \leq n \leq \gamma$ and $t_i \in \{0, 1, \dots, p - 1\}$.
- Edges : consists of pairs $(x, y) \in \mathbb{Z}/p^n\mathbb{Z} \times \mathbb{Z}/p^{n+1}\mathbb{Z}$ with $x \equiv y \pmod{p^n}$, where $0 \leq n \leq \gamma - 1$.

For example, each point t of $\mathbb{Z}/p^\gamma\mathbb{Z}$ is identified with $t_0 t_1 \cdots t_{\gamma-1}$, which is a boundary point of the tree.

I. Tree structure of $\mathbb{Z}/p^\gamma\mathbb{Z}$

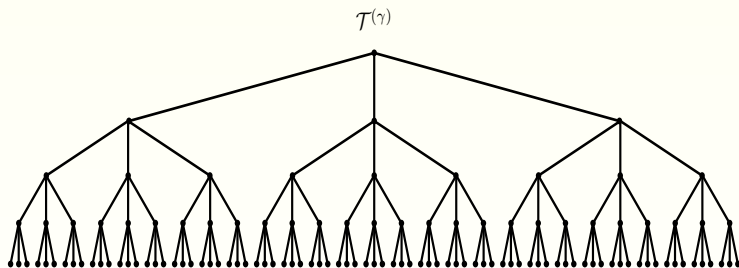


FIGURE : The set $\mathbb{Z}/3^4\mathbb{Z} = \{0, 1, 2, \dots, 80\}$ is considered as a tree $\mathcal{T}^{(4)}$.

II. p -homogenous subsets $\mathbb{Z}/p^\gamma\mathbb{Z}$

Each subset $C \subset \mathbb{Z}/p^\gamma\mathbb{Z}$ will determine a subtree of $\mathcal{T}^{(\gamma)}$, denoted by \mathcal{T}_C , which consists of the paths from the root to the points in C .

For each $0 \leq n \leq \gamma$, denote

$$C_{\text{mod } p^n} := \{x \in \mathbb{Z}/p^n\mathbb{Z} : \exists y \in C, \text{ such that } x = y \bmod p^n\}.$$

- Vertices \mathcal{T}_C : consists of the disjoint union of the sets $C_{\text{mod } p^n}, 0 \leq n \leq \gamma$.
- Edges : consists of pairs $(x, y) \in C_{\text{mod } p^n} \times C_{\text{mod } p^{n+1}}$ with $x \equiv y \bmod p^n$, where $0 \leq n \leq \gamma - 1$.

The set C is called a p -homogenous subsets of $\mathbb{Z}/p^\gamma\mathbb{Z}$ iff the corresponding tree \mathcal{T}_C is p -homogenous.

II. p -homogenous subsets $\mathbb{Z}/p^\gamma\mathbb{Z}$

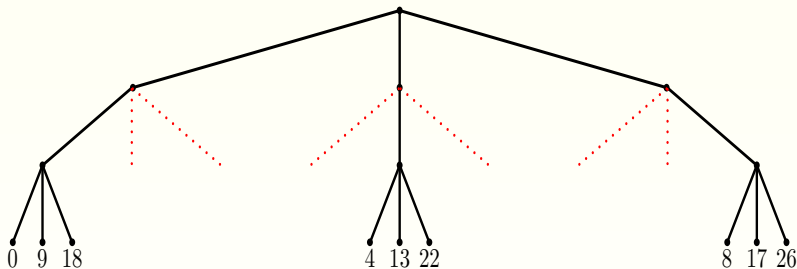


FIGURE : For $p = 3, \gamma = 3$, the tree p -homogeneous tree determined by $\{0, 4, 8, 9, 13, 17, 18, 22, 26\}$.

III. Spectral sets and tiles in $\mathbb{Z}/p^\gamma\mathbb{Z}$

Recall that the **Fourier transform** of a function f defined on $\mathbb{Z}/p^\gamma\mathbb{Z}$ is defined as

$$\widehat{f}(k) = \sum_{x \in \mathbb{Z}/p^\gamma\mathbb{Z}} f(x) e^{-\frac{2\pi i k x}{p^\gamma}}, (\forall k \in \mathbb{Z}/p^\gamma\mathbb{Z}).$$

Theorem (Fan–Fan–Shi, arXiv 2015) Let $C \subset \mathbb{Z}/p^\gamma\mathbb{Z}$. The following statements are equivalent.

- (1) C is p -homogenous.
- (2) There exists a subset $I \subset \{0, \dots, \gamma\}$ such that $\#I = \log_p(\#C)$ and $\widehat{1_C}(p^\ell) = 0$ for $\ell \in I$.
- (3) C is a spectral set in $\mathbb{Z}/p^\gamma\mathbb{Z}$, with

$$\Lambda = \left\{ \sum_{i \in I} a_i p^{-i-1} : a_i \in I \right\}.$$

- (4) C tiles $\mathbb{Z}/p^\gamma\mathbb{Z}$, by

$$T = \left\{ \sum_{j \in J} a_j p^j : a_j \in \{0, \dots, p-1\} \right\}, \quad \text{where } J := \{0, \dots, \gamma\} \setminus I.$$

III. Spectral sets and tiles in $\mathbb{Z}/p^\gamma\mathbb{Z}$

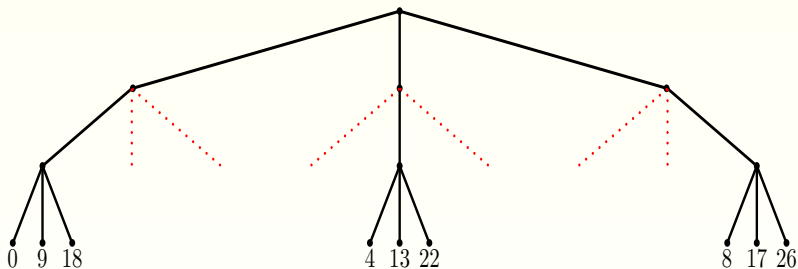


FIGURE : Here, $p = 3$, $\gamma = 3$, $I = \{1, 3\}$, $J = \{0, 2\}$.

IV. Compact open spectral sets \mathbb{Q}_p

W. l. o. g, we assume that Ω is of the form

$$\Omega = \bigsqcup_{c \in C} (c + p^\gamma \mathbb{Z}_p),$$

where $\gamma \geq 1$ is an integer and $C \subset \{0, 1, \dots, p^\gamma - 1\}$.

Theorem (Fan–Fan–Shi, arXiv 2015) The following are equivalent.

- (1) \mathcal{T}_C is a p -homogenous tree.
- (2) Ω is p -homogenous.
- (3) Ω tiles \mathbb{Q}_p .
- (4) Ω is a spectral set in \mathbb{Q}_p .

Proof of Fuglede's conjecture on \mathbb{Q}_p

I. Fourier transformation

A complex function f defined on \mathbb{Q}_p is called *uniformly locally constant* if there exists $n \in \mathbb{Z}$ such that

$$f(x+u) = f(x) \quad \forall x \in \mathbb{Q}_p, \forall u \in B(0, p^n).$$

Lemma

Let $f \in L^1(\mathbb{Q}_p)$ be a complex-value integrable function.

- (1) If f has compact support, then \hat{f} is uniformly locally constant.
- (2) If f is uniformly locally constant, then \hat{f} has compact support.

A subset E of \mathbb{Q}_p is said to be *uniformly discrete* if E is countable and $\inf_{x,y \in E} |x - y|_p > 0$.

Corollary

Let $\Omega \subset \mathbb{Q}_p$ be a Borel set of positive and finite Haar measure.

- (1) If (Ω, Λ) is a spectral pair, then Λ is uniformly discrete.
- (2) If (Ω, T) is a tiling pair, then T is uniformly discrete.

II. Convolution equation

Note that (Ω, T) is a **tiling pair** is equivalent the convolution equation

$$\sum_{t \in T} 1_{\Omega}(x - t) = 1, \quad a.e. \ x \in G. \quad (\text{Tiling})$$

And (Ω, λ) is a **spectral pair** is equivalent to the following convolution equation

$$\forall \xi \in \widehat{\mathbb{Q}_p}, \quad \sum_{\lambda \in \Lambda} |\widehat{1_{\Omega}}(\lambda - \xi)|^2 = \mathfrak{m}(\Omega)^2. \quad (\text{Spectral})$$

In general, we consider the convolution equation

$$\mu_E * f = 1,$$

where $\mu_E = \sum_{t \in E} \delta_t$ is a discrete measure, $0 \leq f \in L^1(\mathbb{Q}_p)$, $\int_{\mathbb{Q}_p} f d\mathfrak{m} > 0$.

III. Distribution

The space \mathcal{D} of **Bruhat-Schwartz test functions** is, by definition, constituted of uniformly locally constant functions of compact support. A **Bruhat-Schwartz distribution** f on \mathbb{Q}_p is by definition a continuous linear functional on \mathcal{D} .

The discrete measure μ_T is also a distribution : for any $\phi \in \mathcal{D}$,

$$\langle \mu_E, \phi \rangle = \sum_{\lambda \in E} \phi(\lambda).$$

The **Fourier transform of a distribution** $f \in \mathcal{D}'$ is a new distribution $\widehat{f} \in \mathcal{D}'$ defined by the duality

$$\langle \widehat{f}, \phi \rangle = \langle f, \widehat{\phi} \rangle, \quad \forall \phi \in \mathcal{D}.$$

IV. Zeros of a distribution

A point $x \in \mathbb{Q}_p$ is called a *zero* of a distribution f if there exists an integer n_0 such that

$$\langle f, 1_{B(y, p^n)} \rangle = 0, \quad \text{for all } y \in B(x, p^{n_0}) \text{ and all integers } n \leq n_0.$$

Denote by \mathcal{Z}_f the set of all zeros of f .

Lemma (2)

Let E be a uniformly discrete set in \mathbb{Q}_p .

- (1) If $\xi \in \mathcal{Z}_{\widehat{\mu_E}}$, then $S(0, |\xi|_p) \subset \mathcal{Z}_{\widehat{\mu_E}}$.
- (2) The set $\mathcal{Z}_{\widehat{\mu_E}}$ is bounded.

Denote $n_f := \min\{n \in \mathbb{Z} : \widehat{f}(x) \neq 0, \text{ if } x \in B(0, p^{-n})\}$.

Corollary

If $\mu_E * f = 1$, then \widehat{f} has compact support. The set $\mathcal{Z}_{\widehat{\mu_E}}$ is bounded and $B(0, p^{-n_f}) \setminus \{0\} \subset \mathcal{Z}_{\widehat{\mu_E}}$.

Proof : Note that $\mu_E * f = 1$ implies $\widehat{\mu_E} \cdot \widehat{f} = \delta_0$.

V. Tiles are almost compact open

Suppose (Ω, T) is a tiling pair. Then

$$\mu_T * 1_\Omega = 1.$$

Then modulo a set of zero Haar measure,

$\Rightarrow T$ is uniformly discrete.

$\Rightarrow \widehat{1_\Omega}$ has compact support.

$\Rightarrow 1_\Omega$ is uniformly locally constant.

$\Rightarrow \Omega$ is a union of balls with the same radius.

Since Ω has finite Haar measure, we conclude that modulo a zero measure set, Ω is **compact-open**. Then “Tiling \Rightarrow spectral ” follows directly from the result of **Fan–Fan–Shi**.

VI. Spectral sets are tiles -I

Suppose (Ω, Λ) is a spectral pair. Then

$$\mu_{\Lambda} * \frac{|\widehat{1_{\Omega}}|^2}{\mathfrak{m}(\Omega)^2} = 1.$$

Then modulo a set of zero Haar measure,

$\Rightarrow \Lambda$ is uniformly discrete.

$\Rightarrow |\widehat{1_{\Omega}}|^2$ has compact support.

$\Rightarrow \Omega$ is bounded.

Without loss of generality, we assume that $\Omega \subset \mathbb{Z}_p$.

VI. Spectral sets are tiles -II

Recall that every sphere $S(0, p^{-n})$ either is contained in $\mathcal{Z}_{\widehat{\mu}_\Lambda}$ or does not intersect $\mathcal{Z}_{\widehat{\mu}_\Lambda}$. Moreover, $B(0, p^{-n_f}) \setminus \{0\} \subset \mathcal{Z}_{\widehat{\mu}_E}$.

Let

$$\begin{aligned}\mathbb{I} &:= \{0 \leq n < n_f : S(0, p^{-n}) \subset \mathcal{Z}_{\widehat{\mu}_\Lambda}\}, \\ \mathbb{J} &:= \{0 \leq n < n_f : S(0, p^{-n}) \cap \mathcal{Z}_{\widehat{\mu}_\Lambda} = \emptyset\}.\end{aligned}$$

Take

$$U := \left\{ \sum_{j \in \mathbb{J}} \alpha_j p^j, \alpha_j \in \{0, 1, \dots, p-1\} \right\}.$$

Then Ω is a tile of \mathbb{Z}_p with tiling complement U . Then we can also tile \mathbb{Q}_p .

Fuglede's conjecture in \mathbb{Q}_p^2

Problem : Does Fuglede's conjecture hold in \mathbb{Q}_p^2 ?

Remark : Tiles and spectral sets **are not necessarily almost compact open**.

We partition \mathbb{Z}_p into p Borel sets of same Haar measure, Set $S = \bigcup_{n=1}^{\infty} B(p^n, p^{-n-1})$, a union of countable disjoint balls, thus not compact open. Let

$$\begin{aligned}A_0 &= S \cup (B(1, p^{-1}) \setminus (1 + S)), \\A_1 &= (B(0, p^{-1}) \cup B(1, p^{-1})) \setminus A_0, \\A_i &= B(i, p^{-1}) \text{ for } 2 \leq i \leq p-1.\end{aligned}$$

Define

$$\Omega := \bigcup_{i=0}^{p-1} A_i \times B(i, p^{-1}) \subset \mathbb{Z}_p \times \mathbb{Z}_p.$$