# Diffraction spectrum of a Rudin–Shapiro-like sequence

Lax Chan (Joint work with Uwe Grimm)

The Open University, UK

Transversal aspects of tilings
June 2016

## Motivation

## In 1950, R. Salem asked the following question related to Fourier/Harmonic analysis:

Does there exist a sequence of  $\epsilon_n \in \pm 1$  such that

$$\sup_{\theta \in \mathbb{R}} \left| \sum_{n < N} \epsilon_n e(n\theta) \right| \leq C \sqrt{N},$$

for any positive integer N?

H. S. Shapiro and W. Rudin answered in the affirmative in 1951 and 1959 respectively.

## Motivation

In 1950, R. Salem asked the following question related to Fourier/Harmonic analysis:

Does there exist a sequence of  $\epsilon_n \in \pm 1$  such that

$$\sup_{\theta \in \mathbb{R}} \left| \sum_{n < N} \epsilon_n e(n\theta) \right| \leq C\sqrt{N},$$

for any positive integer N?

H. S. Shapiro and W. Rudin answered in the affirmative in 1951 and 1959 respectively.

## Motivation

In 1950, R. Salem asked the following question related to Fourier/Harmonic analysis:

Does there exist a sequence of  $\epsilon_n \in \pm 1$  such that

$$\sup_{\theta \in \mathbb{R}} \left| \sum_{n < N} \epsilon_n e(n\theta) \right| \leq C \sqrt{N},$$

for any positive integer N?

H. S. Shapiro and W. Rudin answered in the affirmative in 1951 and 1959 respectively.

# Different representations of the Rudin–Shapiro sequence

 $r_n := (-1)^{e_{2;11}(n)}$ , where  $e_{2;11}(n)$  counts the number of (possibly overlapping) occurrences of the block 11 in the binary representation of n.

```
Example

• 0_2 = 0, e_{2;11}(0) = 0, r_0 = +1;

• 1_2 = 1, e_{2;11}(1) = 0, r_1 = +1;

• 2_2 = 10, e_{2;11}(2) = 0, r_2 = +1;

• 3_2 = 11, e_{2;11}(3) = 1, r_3 = -1.
```

# Different representations of the Rudin–Shapiro sequence

 $r_n := (-1)^{e_{2;11}(n)}$ , where  $e_{2;11}(n)$  counts the number of (possibly overlapping) occurrences of the block 11 in the binary representation of n.

## Example

- $0_2 = 0$ ,  $e_{2:11}(0) = 0$ ,  $r_0 = +1$ ;
- $1_2 = 1$ ,  $e_{2:11}(1) = 0$ ,  $r_1 = +1$ ;
- $2_2 = 10$ ,  $e_{2:11}(2) = 0$ ,  $r_2 = +1$ ;
- $3_2 = 11$ ,  $e_{2:11}(3) = 1$ ,  $r_3 = -1$ .

## Dynamical representation

## Iterating the following map:

$$\begin{array}{c} 0\mapsto 02\\ 1\mapsto 32\\ 2\mapsto 01\\ 3\mapsto 31 \end{array}.$$

- Primitivity: When there exists some  $k \in \mathbb{N}$  such that every  $a_i$  is a subword of each  $\varrho^k(a_i)$ .
- Legality: A finite word is called *legal* if it occurs as a subword of  $\varrho^k(a_i)$  for some  $1 \le i \le n$  and some  $k \in \mathbb{N}$

## Dynamical representation

## Iterating the following map:

$$\begin{array}{c} 0\mapsto 02\\ 1\mapsto 32\\ 2\mapsto 01\\ 3\mapsto 31 \end{array}.$$

- Primitivity: When there exists some  $k \in \mathbb{N}$  such that every  $a_i$  is a subword of each  $\varrho^k(a_i)$ .
- Legality: A finite word is called *legal* if it occurs as a subword of  $\varrho^k(a_i)$  for some  $1 \le i \le n$  and some  $k \in \mathbb{N}$ .

Let 
$$S(N) := \sum_{0 \le n \le N} r_n$$
.

## Theorem (Allouche, Shallit)

 $S(N) = \sqrt{N}G(\log_4 N)$ , where G is a certain function that oscillates periodically between  $\sqrt{3}/3$  and  $\sqrt{2}$ .

#### Proposition (Baake, Grimm)

The Rudin–Shapiro sequence has purely absolute continuous diffraction spectrum.

Let 
$$S(N) := \sum_{0 \le n \le N} r_n$$
.

## Theorem (Allouche, Shallit)

 $S(N) = \sqrt{N}G(\log_4 N)$ , where G is a certain function that oscillates periodically between  $\sqrt{3}/3$  and  $\sqrt{2}$ .

## Proposition (Baake, Grimm)

The Rudin–Shapiro sequence has purely absolute continuous diffraction spectrum.

## A Rudin-Shapiro-like sequence

 $(i_n)_{n\geq 0}$ , defined by  $i_n=(-1)^{\mathrm{inv_2}(n)}$ , where  $inv_2(n)$  counts the number of inversions (occurrences of 10 as a scattered subsequence) in the binary representation of n.

```
Example
```

- $0_2 = 0$ ,  $i_{0} = +1$ ;
- $1_2 = 1$ ,  $inv_2(1) = 0$ ,  $i_1 = +1$ ;
- $2_2 = 10$ ,  $inv_2(2) = 1$ ,  $i_2 = -1$ ;
- $12_2 = 1100$ ,  $inv_2(12) = 4$ ,  $i_{12} = +1$ .

## A Rudin-Shapiro-like sequence

 $(i_n)_{n\geq 0}$ , defined by  $i_n=(-1)^{\mathrm{inv}_2(n)}$ , where  $inv_2(n)$  counts the number of inversions (occurrences of 10 as a scattered subsequence) in the binary representation of n.

### Example

- $0_2 = 0$ ,  $i_{0} = 0$ ,  $i_{0} = +1$ ;
- $1_2 = 1$ ,  $inv_2(1) = 0$ ,  $i_1 = +1$ ;
- $2_2 = 10$ ,  $inv_2(2) = 1$ ,  $i_2 = -1$ ;
- $12_2 = 1100$ ,  $inv_2(12) = 4$ ,  $i_{12} = +1$ .

## Dynamical representation

## Iterating the following map:

$$arrho_{\mathsf{RSL}}:egin{array}{c} 0\mapsto 01\ 1\mapsto 20\ 2\mapsto 13\ 3\mapsto 32 \end{array}.$$

Recoding: Identify 0, 1 to 1 and 2,3 to -1.

## Dynamical representation

Iterating the following map:

$$arrho_{\mathsf{RSL}}: egin{array}{c} 0 \mapsto 01 \ 1 \mapsto 20 \ 2 \mapsto 13 \ 3 \mapsto 32 \end{array}.$$

Recoding: Identify 0, 1 to 1 and 2,3 to -1.

Let 
$$S(N) := \sum_{0 \le n \le N} i_n$$
.

## Theorem (Yee, Lafrance, Rampersad)

 $S(N) = \sqrt{N}G(\log_4 N)$ , where G is a certain function that oscillates periodically between  $\sqrt{3}/3$  and  $\sqrt{2}$ .

*i<sub>n</sub>* satisfy certain recurrence relations.

$$i_{2n} = i_n t_n$$

$$i_{2n+1}=i_n.$$

Let 
$$S(N) := \sum_{0 \le n \le N} i_n$$
.

## Theorem (Yee, Lafrance, Rampersad)

 $S(N) = \sqrt{N}G(\log_4 N)$ , where G is a certain function that oscillates periodically between  $\sqrt{3}/3$  and  $\sqrt{2}$ .

 $i_n$  satisfy certain recurrence relations.

$$i_{2n}=i_nt_n$$

$$i_{2n+1}=i_n$$
.

### Proposition (Yee, Lafrance, Rampersad)

The sequence  $(i_n)_{n\geq 0}$  satisfies the following recurrence relations:

$$i_{4n} = i_n$$
  
 $i_{4n+1} = i_{2n}$   
 $i_{4n+2} = -i_{2n}$   
 $i_{4n+3} = i_n$ 

## What is not known?

#### Question 1.

What is the diffraction spectrum of this sequence?

Question 2. (Yee, Lafrance, Rampersad)

Does it satisfy  $\sup_{\theta \in \mathbb{R}} \left| \sum_{n \le N} i_n e(n\theta) \right| \le C \sqrt{N}$ ?

## What is not known?

#### Question 1.

What is the diffraction spectrum of this sequence?

Question 2. (Yee, Lafrance, Rampersad)

Does it satisfy  $\sup_{\theta \in \mathbb{R}} |\sum_{n < N} i_n e(n\theta)| \le C\sqrt{N}$ ?

## Mathematical diffraction theory

#### Autocorrelation measure:

$$\gamma = \sum_{m \in \mathbb{Z}} \eta(m) \delta_m$$

Autocorrelation coefficient:

$$\eta(m) = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} v(i)v(i+m)$$

Diffraction measure  $\hat{\gamma}$ :

Fourier transform of the autocorrelation measure.

## Theorem (Lebesgue decomposition theorem)

$$\widehat{\gamma} = \widehat{\gamma_{\rm pp}} + \widehat{\gamma_{\rm sc}} + \widehat{\gamma_{\rm ac}}$$

## Mathematical diffraction theory

Autocorrelation measure:

$$\gamma = \sum_{m \in \mathbb{Z}} \eta(m) \delta_m$$

Autocorrelation coefficient:

$$\eta(m) = \lim_{N \to \infty} \frac{1}{N} \sum_{i=0}^{N-1} v(i)v(i+m)$$

Diffraction measure  $\hat{\gamma}$ :

Fourier transform of the autocorrelation measure.

## Theorem (Lebesgue decomposition theorem)

$$\widehat{\gamma} = \widehat{\gamma_{\rm pp}} + \widehat{\gamma_{\rm sc}} + \widehat{\gamma_{\rm ac}}.$$

#### Theorem (C., Grimm)

The Rudin–Shapiro-like sequence has purely singular continuous spectrum.

### Theorem (C., Grimm)

The Rudin–Shapiro-like sequence has purely singular continuous spectrum.

### Theorem (C., Grimm)

The Rudin–Shapiro-like sequence has purely singular continuous spectrum.

## Theorem (C.,Grimm)

If a sequence satisfy  $\sup_{\theta \in \mathbb{R}} \left| \sum_{n < N} \epsilon_n e(n\theta) \right| \leq C \sqrt{N}$ , then it has purely absolute continuous diffraction spectrum.

Proof:

**Step 1**: Define the correlation function:

$$\eta(k) = \lim_{N \to \infty} \frac{1}{N} \sum_{n < N} \epsilon(n+k)\epsilon(n),$$

where  $N \in \mathbb{Z}$  and for every  $k \in \mathbb{N}$ .

By Heglotz-Bochner theorem,  $\eta$  is the Fourier transform of a position measure  $\sigma$  on [0, 1), which we call a correlation measure.

## Theorem (C.,Grimm)

If a sequence satisfy  $\sup_{\theta \in \mathbb{R}} \left| \sum_{n < N} \epsilon_n e(n\theta) \right| \leq C \sqrt{N}$ , then it has purely absolute continuous diffraction spectrum.

#### **Proof:**

**Step 1**: Define the correlation function:

$$\eta(k) = \lim_{N \to \infty} \frac{1}{N} \sum_{n < N} \epsilon(n+k) \epsilon(n),$$

where  $N \in \mathbb{Z}$  and for every  $k \in \mathbb{N}$ .

By Heglotz-Bochner theorem,  $\eta$  is the Fourier transform of a position measure  $\sigma$  on [0, 1), which we call a correlation measure.

## proof

## Step 2:

#### Theorem (Baake, Grimm)

Let  $\varrho$  be a primitive substitution on a finite alphabet. Its hull  $\mathbf{X}(w) := \overline{\{S^i(w) : i \in \mathbb{Z}\}}$  is then *strictly ergodic* under the  $\mathbb{Z}$ -action of the shift.

## Strict ergodicity=unique ergodicity+minimality.

Step 3:

#### Proposition (Queffélec)

If  $\sigma$  is the unique correlation measure of the sequence  $\gamma$ ,  $\sigma$  is the weak-\* limit point of the sequence of absolute continuous measures  $R_N \cdot m$ , where m is the Haar measure and  $R_N = \frac{1}{N} \left| \sum_{n < N} \epsilon_n e(n\theta) \right|^2$ .

## proof

#### Step 2:

#### Theorem (Baake, Grimm)

Let  $\varrho$  be a primitive substitution on a finite alphabet. Its hull  $\mathbf{X}(w) := \overline{\{S^i(w) : i \in \mathbb{Z}\}}$  is then *strictly ergodic* under the  $\mathbb{Z}$ -action of the shift.

Strict ergodicity=unique ergodicity+minimality. Step 3:

## Proposition (Queffélec)

If  $\sigma$  is the unique correlation measure of the sequence  $\gamma$ ,  $\sigma$  is the weak-\* limit point of the sequence of absolute continuous measures  $R_N \cdot m$ , where m is the Haar measure and  $R_N = \frac{1}{N} \left| \sum_{n < N} \epsilon_n e(n\theta) \right|^2$ .

## Last step of the proof

Denote  $\zeta_N = R_N \cdot m$  and suppose  $\zeta_N$  converges weak-\* to  $\zeta$ .

Take a function  $g \in C_c(\mathbb{R}^d)$ , a continuous complex-valued function with compact support.

$$\zeta_N(g) = \int g \cdot \frac{1}{N} \left| \sum_{n < N} \epsilon_n e(n\theta) \right|^2 dm,$$

We obtain  $\zeta(g) \leq C \int g \ dm$ , this implies absolute continuity

## Last step of the proof

Denote  $\zeta_N = R_N \cdot m$  and suppose  $\zeta_N$  converges weak-\* to  $\zeta$ . Take a function  $g \in C_c(\mathbb{R}^d)$ , a continuous complex-valued function with compact support.

$$\zeta_N(g) = \int g \cdot \frac{1}{N} \left| \sum_{n \leq N} \epsilon_n e(n\theta) \right|^2 dm,$$

We obtain  $\zeta(g) \leq C \int g \ dm$ , this implies absolute continuity.

## Last step of the proof

Denote  $\zeta_N = R_N \cdot m$  and suppose  $\zeta_N$  converges weak-\* to  $\zeta$ . Take a function  $g \in C_c(\mathbb{R}^d)$ , a continuous complex-valued function with compact support.

$$\zeta_N(g) = \int g \cdot \frac{1}{N} \left| \sum_{n \leq N} \epsilon_n e(n\theta) \right|^2 dm,$$

We obtain  $\zeta(g) \leq C \int g \ dm$ , this implies absolute continuity.

# Thank you for your attention!